



Attorney's Pocket No.: 01997-273001 / MIT 7772

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mounji G. Bawendi et al.      Art Unit : 1641  
Serial No. : 09/160,454      Examiner : M. Pham  
Filed : September 24, 1998      Notice of Allowance Date: January 12, 2001  
Title : BIOLOGICAL APPLICATIONS OF QUANTUM DOTS

**BOX AF**

Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL OF DECLARATION


Enclosed is the executed Combined Declaration and Power of Attorney (4 pages) requested by Examiner Powell of the U.S. Patent and Trademark Office in a telephone conference with Applicants' representative on March 30, 2001.

Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: \_\_\_\_\_

4-6-01

  
\_\_\_\_\_  
Harold H. Fox  
Reg. No. 41,498

Fish & Richardson P.C.  
601 Thirteenth Street, NW  
Washington, DC 20005  
Telephone: (202) 783-5070  
Facsimile: (202) 783-2331

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Attorney's Docket No.: 01997-273001  
Client's Ref. No.: MIT 7772

## COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled BIOLOGICAL APPLICATIONS OF QUANTUM DOTS, the specification of which:

- ☐ is attached hereto.  
☒ was filed on September 24, 1998 as Application Serial No. 09/160,454 and was amended on \_\_\_\_\_  
☐ was described and claimed in PCT International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim the benefit under Title 35, United States Code, §119(e)(1) of any United States provisional application(s) listed below:

<u>U.S. Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
<u>60/100,947</u>	<u>September 18, 1998</u>	<u>Pending</u>

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information I know to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

<u>U.S. Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
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I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

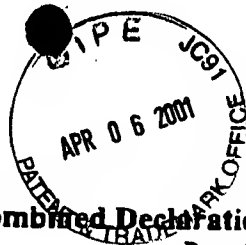
<u>Country</u>	<u>Application No.</u>	<u>Filing Date</u>	<u>Priority Claimed</u>
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I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Eric L. Prah, Reg. No. 32,590  
Y. Rocky Tsao, Reg. No. 34,053  
John F. Hayden, Reg. No. 37,640

Frank R. Occhiuti, Reg. No. 35,306  
Harold H. Fox, Reg. No. 41,498

Address all telephone calls to HAROLD H. FOX at telephone number (202) 783-5070.



Attorney's Docket No.: 01997-273001  
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**Combined Declaration and Power of Attorney**  
Page 2 of 2 Pages

Address all correspondence to ERIC L. PRAHL at:

FISH & RICHARDSON P.C.  
225 Franklin Street  
Boston, MA 02110-2804

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor: MOUNGI G. DAWENDI

Inventor's Signature: *Mongi Dawendi* Date: 3/30/01  
Residence Address: Boston, Massachusetts  
Citizenship: United States of America  
Post Office Address: 263 Commonwealth Ave. #5 Boston, MA 02116

Full Name of Inventor: VIKRAM C. SUNDAR

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence Address: Stonham, Massachusetts  
Citizenship: India  
Post Office Address: 555 Main Street, Stonham, Massachusetts 02180

Full Name of Inventor: FREDERIC V. MIKULEC

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence Address: La Jolla, California  
Citizenship: United States of America  
Post Office Address: 8280 Caminito Sonoma, La Jolla, California 92037



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Full Name of Inventor: MOUNGI G. BAWENDI

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence Address: Boston, Massachusetts  
Citizenship: United States of America.  
Post Office Address: 263 Commonwealth Ave. #5 Boston, MA 02116

Full Name of Inventor: VIKRAM C. SUNDAR

Inventor's Signature: *V. Sundar* Date: 04/02/01  
Residence Address: Stoneham, Massachusetts  
Citizenship: India  
Post Office Address: 555 Main Street, Stoneham, Massachusetts 02180

Full Name of Inventor: FREDERIC V. MIKULEC

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence Address: La Jolla, California  
Citizenship: United States of America  
Post Office Address: 8280 Caminito Sonoma, La Jolla, California 92037



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Full Name of Inventor: **MOUNGI G. BAWENDI**

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence Address: **Boston, Massachusetts**  
Citizenship: **United States of America**  
Post Office Address: **263 Commonwealth Ave. #5 Boston, MA 02116**

Full Name of Inventor: **VIKRAM C. SUNDAR**

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence Address: **Stoneham, Massachusetts**  
Citizenship: **India**  
Post Office Address: **555 Main Street, Stoneham, Massachusetts 02180**

Full Name of Inventor: **FREDERIC V. MIKULEC**

Inventor's Signature: *Frederic V. Mikulec* Date: APRIL 4, 2001  
Residence Address: **La Jolla, California**  
Citizenship: **United States of America**  
Post Office Address: **8280 Caminito Sonoma, La Jolla, California 92037**